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|                                                                                                                                                      |   |    |   | Application Number       | 10/086,477                |
|                                                                                                                                                      |   |    |   | Filing Date              | March 1, 2002             |
|                                                                                                                                                      |   |    |   | First Named Inventor     | SEMPLE, Sean C.           |
|                                                                                                                                                      |   |    |   | Art Unit                 | 1632                      |
|                                                                                                                                                      |   |    |   | Examiner Name            | Dave T. Nguyen            |
| Sheet                                                                                                                                                | 1 | of | 1 | Attorney Docket Number   | 33846/US/2 (454892-00018) |

| U.S. PATENT DOCUMENTS |                       |                                                          |                             |                                                 |                                                                           |
|-----------------------|-----------------------|----------------------------------------------------------|-----------------------------|-------------------------------------------------|---------------------------------------------------------------------------|
| Examiner Initials*    | Cite No. <sup>1</sup> | Document Number Number-Kind Code <sup>2</sup> (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| FOREIGN PATENT DOCUMENTS |                       |                                                                                                               |                                |                                                    |                                                                                 |                |
|--------------------------|-----------------------|---------------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------------------------|---------------------------------------------------------------------------------|----------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document<br>Country Code <sup>3</sup> Number <sup>4</sup> Kind<br>Code <sup>5</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited<br>Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
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| MB                              | C1                    | CHU, W.M., et al. "DNA-PKcs Is Required for Activation of Innate Immunity By Immunostimulatory DNA," <i>Cell</i> , Dec. 2002, 103, 909-918.                                                                                                                     |  |                |
| MB                              | C2                    | DOW, S.W., et al., "Lipid-DNA complexes Induce Potent Activation of Innate Immune Responses and Antitumor Activity When Administered Intravenously," <i>Journal of Immunology</i> , 1999, 163, 1552-1561.                                                       |  |                |
| MB                              | C3                    | HEMMI, H., et al., "A Toll-like receptor Recognizes Bacterial DNA," <i>Nature</i> , 2000, 408, 740-745.                                                                                                                                                         |  |                |
| MB                              | C4                    | HOPE, M., et al., "Cationic lipids, phosphatidylethanolamine and the intracellular delivery of polymeric, nucleic acid-based drugs," <i>Mol. Membr. Biol.</i> 15(1):1-14 (Jan. – Mar. 1998).                                                                    |  |                |
| MB                              | C5                    | LATZ, E., et al., "TLR9 Signals After Translocating From the ER to CpG DNA in Lysosome," <i>Nature Immunology</i> , 2004, 5, 2, 190-198.                                                                                                                        |  |                |
| MB                              | C6                    | ZELPHATI, O., et al., "Cationic Liposomes As An Oligonucleotide Carrier: mechanism of Action" <i>J. Liposome Research</i> , 1997, 7(1), 31-49.                                                                                                                  |  |                |

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|--------------------|---------------------|-----------------|------------|
| Examiner Signature | /Michael Burkhardt/ | Date Considered | 09/07/2006 |
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